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FROM: Caroline J Yun

DIRECT DIAL: (852) 2846 2453

E-MAIL: caroline.j.yun@bakernet.com

DATE: 6 March 2002

TOTAL PAGES: 10
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RE: Hong Kong University of Science & Technology
US Patent Application for "Compositions and Methods for the Targeted Delivery of
Agents To Treat Liver Cancer"
Serial No. 09/827,255

Our Ref.: CJY/32144183-001336

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TO: United States Patent & Trademark Office
FROM: Caroline J Yun
DATE: 6 March 2002
RE: Hong Kong University of Science & Technology
US Patent Application for "Compositions and Methods for the Targeted Delivery of Agents To Treat Liver Cancer"
Serial No. 09/827,255
FAX: 703 872 9306
DIRECT DIAL: (852) 2846 2453
E-MAIL: caroline.j.yun@bakernet.com
TOTAL PAGES: 10
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Our Ref: CJY/22144183-001396

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Serial No. 09/827,255

Attorney Docket No. 32144183-001336

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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A

Applicant(s): Jeffrey Tze Fei WONG et al. Group Art No.: 1636
Serial No.: 09/827,255 Examiner: M. M. Schmidt
Filing Date: April 5, 2001
For: COMPOSITIONS AND METHODS FOR THE TARGETED DELIVERY OF
AGENTS TO TREAT LIVER CANCER

Hon. Commissioner for Patents
Washington DC 20231

Dear Sir:

In response to the Office Action mailed November 6, 2001, Applicants submit the following
amendment and response.

AMENDMENT AND RESPONSE

PLEASE AMEND THE APPLICATION AS FOLLOWS:

Please amend Claims 3, 4, 6 & 8 to read as follows:

3. (Amended) The composition of claim 2, wherein the polynucleotide is selected
from the group consisting of cDNA encoding a therapeutic protein, a ribozyme, and antisense
DNA.

4. (Amended) The composition of claim 1, wherein the therapeutic agent is
selected from the group consisting of a cytotoxic drugs and a protein.

AMENDMENT AND RESPONSE
CJY00242.DOC

Page 1 of 6